



09/881,167

PATENT

#10/RCE
Rising
10/10/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SKOGMAN Examiner: L. AL NAZAR
Serial No.: 09/881,167 Group Art Unit: 2828
Filed: JUNE 14, 2001 Docket No.: H0001331-C1
(M&G 13358.5US01)
Title: METHOD AND APPARATUS FOR PRODUCING VCSELS WITH
DIELECTRIC MIRRORS AND SELF-ALIGNED GAIN GUIDE

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EV322887515US

Date of Deposit: September 18, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop RCE, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By: Teresa Anderson
Teresa Anderson

REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. §1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Under 37 C.F.R. §1.114, it is respectfully requested that this application be accorded the benefits of Continued Examination.

Please charge Deposit Account No. 01-1125 in the amount of \$750.00 to cover the required fee for a large entity. Please see the enclosed Fee Transmittal For FY 2003.

09/26/2003 AMONDAF1 00000040 132725 09881167

01 FC:1801	750.00 DA
02 FC:1201	84.00 DA
03 FC:1202	234.00 DA

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
612/332-5300

Date: September 18, 2003

Anna M. Nelson
Anna M. Nelson
Reg. No. 48,935
AMN:PSTpmc

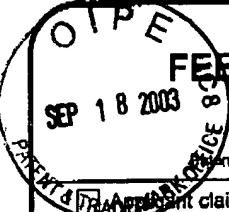
Customer No. 000128

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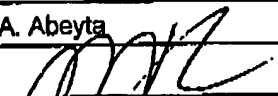
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<div style="text-align: center;">  <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">For FY 2003</h3> <p style="font-size: small;">Patent fees are subject to annual revision.</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> </div>	COMPLETE IF KNOWN	
	Application No.	09/881,167
	Filing Date	06/14/2001
	First Named Inventor	Skogman
	Examiner Name	L. Al Nazer
Group Art Unit	2828	
Attorney Docket No.	H0001331-C1 (was H16-01331-US)	
TOTAL AMOUNT OF PAYMENT		\$1,068

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)																																																																																																																																																																																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>01-1125</u> Deposit Account Name: <u>Honeywell International Inc.</u> The Commissioner is hereby authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Credit any overpayment <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account	<h3 style="margin: 0;">3. ADDITIONAL FEES</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee \$</th> <th>Fee Code</th> <th>Fee \$</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,250</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116*</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>450</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,980</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>180</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>148</td><td>740</td><td>248</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>179</td><td>750</td><td>279</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>189</td><td>900</td><td>189</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td>185</td><td>300</td><td>185</td><td>300</td><td>Publication fee</td><td></td></tr> <tr> <td colspan="4">Other fee (specify): _____</td> <td></td> <td></td> </tr> <tr> <td colspan="4">* Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (\$)</td> <td>\$750</td> </tr> </tbody> </table>	Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee \$	Fee Code	Fee \$	105	130	205	65	Surcharge - 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SUBMITTED BY		Complete (if applicable)	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582
Signature		Telephone	602-313-3345
		Date	Sep. 18, 2003

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Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.**



09-22-03

RCE/\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SKOGMAN
Serial No.: 09/881,167
Filed: JUNE 14, 2001
Confirmation No.: 6849
Title: METHOD AND APPARATUS FOR PRODUCING VCSELS WITH DIELECTRIC MIRRORS AND SELF-ALIGNED GAIN GUIDE

Examiner: L. AL NAZAR
Group Art Unit: 2828
Docket: H0001331-C1
(M&G 13358.5US01)
Customer No.: 000128

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV322887515US
Date of Deposit: September 18, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson
Name: Teresa Anderson

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Request For Continued Examination and fee of \$750.00 to be charged to Deposit Account No. 01-1125
- ☒ Amendment
- The fee has been calculated as shown below in the "Claims as Amended" table
- ☒ Supplemental Information Disclosure Statement, Form 1449, 18 Reference(s)
- ☒ Fee Transmittal for FY 2003
- ☒ Please charge Deposit Account No. 01-1125 in the amount of \$318.00 for additional claims fee
- ☒ Return postcard

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SEP 29 2003
TECHNOLOGY CENTER 2800

CLAIMS AS AMENDED

Claims Remaining After Amendment		Highest Number Previously Paid For		Present Extra		Rate		Fee
Total Claims								
45	-	32	=	13	x	18.00	=	\$234.00
Independent Claims								
5	-	4	=	1	x	84.00	=	\$84.00
MULTIPLE DEPENDENT CLAIM FEE								\$0.00
TOTAL FILING FEE								318.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
612.332.5300

By: Anna M. Nelson
Name: Anna M. Nelson
Reg. No.: 48,935
ANelson:PSTpmc